### SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Hunter

Attorney Docket:

1118/192

Serial No:

10/796,856

Art Group Unit:

1743

Date Filed:

March 9, 2004

Examiner Name:

N/A

Invention:

Method and Apparatus for Performing Microassays

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Reference   Number   Issue Date   Inventor   Class/State	
/AS/       AA       3,873,268       Mar. 25, 1975       McKie, Jr.       23/230 R         AB       3,894,512       July 15, 1975       Ohno       118/637         AC       4,111,754       Sept. 5, 1978       Park       195/127         AD       4,446,239       May 1, 1984       Tsuji et al.       436/532         AE       4,493,815       Jan. 15, 1985       Fernwood et al.       422/101         AF       4,562,045       Dec. 31, 1985       Murata       422/102         AG       4,682,890       July 28, 1987       de Macario et al.       356/244         AH       4,828,386       May 9, 1989       Matkovich et al.       356/246	ıbclass
AB 3,894,512 July 15, 1975 Ohno 118/637  AC 4,111,754 Sept. 5, 1978 Park 195/127  AD 4,446,239 May 1, 1984 Tsuji et al. 436/532  AE 4,493,815 Jan. 15, 1985 Fernwood et al. 422/101  AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AB 3,894,512 July 15, 1975 Ohno 118/637  AC 4,111,754 Sept. 5, 1978 Park 195/127  AD 4,446,239 May 1, 1984 Tsuji et al. 436/532  AE 4,493,815 Jan. 15, 1985 Fernwood et al. 422/101  AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AC 4,111,754 Sept. 5, 1978 Park 195/127  AD 4,446,239 May 1, 1984 Tsuji et al. 436/532  AE 4,493,815 Jan. 15, 1985 Fernwood et al. 422/101  AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AD 4,446,239 May 1, 1984 Tsuji et al. 436/532  AE 4,493,815 Jan. 15, 1985 Fernwood et al. 422/101  AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AE 4,493,815 Jan. 15, 1985 Fernwood et al. 422/101  AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AF 4,562,045 Dec. 31, 1985 Murata 422/102  AG 4,682,890 July 28, 1987 de Macario et al. 356/244  AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AG 4,682,890 July 28, 1987 de Macario et al. 356/244 AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
AH 4,828,386 May 9, 1989 Matkovich et al. 356/246	
•	
AI 4,834,946 May 30, 1989 Levin 422/101	
AJ 5,000,921 Mar. 19, 1991 Hanaway et al. 422/100	
AK 5,047,215 Sept. 10, 1991 Manns 422/101	
AL 5,108,704 Apr. 28, 1992 Bowers et al. 422/70	
AM 5,210,021 May 11, 1993 Goodwin, Jr. 435/29	
AN 5,262,128 Nov. 16, 1993 Leighton et al. 422/100	
AO 5,284,753 Feb. 8, 1994 Goodwin, Jr. 435/30	
AP 5,290,705 Mar. 1, 1994 Davis 436/164	
AQ 5,453,252 Sept. 26, 1995 Truett 422/104	
AR 5,506,141 Apr. 9, 1996 Weinreb et al. 435/309.1	
AS 5,508,200 Apr. 16, 1996 Tiffany et al. 436/44	

/Arlen Soderquist/

06/18/2007 (Information Disclosure Statement--page 4 of 8)

/AS/	AT	5,519,218	May 21, 1996	Chang	250/339.07
	AU	5,560,811	Oct. 1, 1996	Briggs et al.	204/451
	ΑV	5,770,440	June 23, 1998	Berndt	435/288.4
	AW	5,786,226	July 28, 1998	Böcker et al.	436/164
	AX	5,843,767	Dec. 1, 1998	Beattie	437/287.1
-\/-	AY,	5,985,214	Nov. 16, 1999	Stylli et al.	422/65
<u> </u>	ΑZ	6,387,331	May 14, 2002	Hunter	422/102
_/AS/_	BG	6,027,873	Feb. 22, 2000	Schellenberge	r et al. 435/4

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date		
			,			
/AS/	ВА	WO	95/01559	July 2, 1994		
	ВВ	wo	95/11755	May 4, 1995		
1	ВС	wo	97/15394	May 1, 1997		
<u>V</u>	BD	wo	99/39829	August 12, 1999		
/AS/	BE	EP	0 506 993	April 4, 1991		

	OTHER DOCUMENTS					
Examiner	The of the of your man, volume is all the or your man, volume is all the or your man, volume is all the or your man, it is all th					
Initials	tials Number Page Numbers, Date					
/AS/	BF	Cadus	Cadus Pharmaceutical Corp, 1997 Annual			
L	1		Report, 1-29, May 8, 1998.			

Examiner Signature:	/Arlen Soderquist/	
Date Considered:	06/18/2007	
	reference considered, whether or not citation is in conformance with MPEP 609; draw not in conformance and not considered. Include copy of this form with next cant.	

#### SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ian Hunter

Attorney Docket:

1118/192

Serial No:

10/796,856

Art Group Unit:

. 1743

Date Filed:

March 9, 2004

Examiner Name:

N/A

Invention:

Method and Apparatus for Performing Microassays

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS							
Examiner Initials	Reference Number	Document Number	Issue Date/ Publication Date	Inventor	Class/Subclass		
/AS/	ВН	US 2,771,398	11/1956	Snyder			
	BI	US 4,415,732	11/15/1983	Caruthers et al.			
	BJ	US 4,458,066	07/03/1984	Caruthers et al.			
	BK	US.4,500,707	02/19/1985	Caruthers et al.			
	BL	US 4,861,448	08/29/1989	Cantor et al.			
W	BM	US 4,973,679	11/27/1990	Caruthers et al.			
44.0	BN	US 5,153,319	10/06/1992	Caruthers et al.			
/AS/	BO	US 5,215,593	06/01/1993	Nojo et al.			
	BP	US 5,284,753	02/08/1994	Goodwin, Jr.	-		
/AS/	BQ	US 5,374,525	12/1994	Lalouel et al.			
	BR	US 5,445,934	08/29/1995	Fodor et al.			
	BS	US 5,510,270	04/23/1996	Fodor et al.			
	BT	US 5,599,664	02/04/1997	Schwartz			
	BU	US 5,605,662	02/25/1997	Heller et al.			
	BV	US 5,621,094	04/1997	Roser et al.			
	BW	US 5,632,957	05/27/1997	Heller et al.			
	BX	US 5,744,101	04/28/1998	Fodor et al.			
	BY	US 5,773,238	06/30/1998	Shukla			
•	BZ	US 5,795,748	08/18/1998	Cottingham			
	CA	US 5,807,522	09/15/1998	Brown et al.			
	СВ	US 5,840,862	11/24/1998	Bensimon et al.			
	CC	US 5,856,100	01/05/1999	Hayashizaki			
	CD	US 5,888,723	03/30/1999	Sutton et al.			
	CE	US 5,922,604	07/13/1999	Stapleton et al.			
	CF	US 5,929,208	07/27/1999	Heller et al.			
1/	CG	US 5,944,652	08/1999	Miller et al.			
V	СН	US 5,955,377	09/21/1999	Maul et al.			
/AS/	CI	US 5,958,345	09/28/1999	Turner et al.	٠.		

/AS/	CJ	US 6,004,744	12/21/1999	Goelet et al.	
	CK	US 6,060,240	05/2000	Kamb et al.	
	CL	US 6,071,702	06/2000	Yamamoto et al.	
	CM	US 6,147,198	11/14/2000	Schwartz	
	CN	US 6,309,600	10/30/2001	Hunter	
	CO	US 6,436,632	08/20/2002	Schellenberger et al.	
	CP	US 6,565,813	05/2003	Garyantes, Tina	
	CQ	US 6,713,309	03/2004	Anderson et al.	
V	CR	US 2002-0001546	01/03/2002	Hunter et al.	
/AS/	CS	US 2003-0124716	07/03/2003	Hess et al.	

	FOREIGN PATENT DOCUMENTS							
Init		Reference Number	Country Code	Document Number	Publication Date -	Patenteee or Applicant	Class/Subclass	
7.	AS/	CT	WO	98/45406	10/15/1998			
	l	CU .	WO	98/47003	10/22/1998			
	I	CV	wo	99/11373	03/11/1999			
		CW .	wo	99/19510	04/22/1999			
1	/	CX	WO	99/34920	07/15/1999			
	<b>V</b>	CY ·	wo	99/47922	09/23/1999			
77	45/	CZ ·	WO	99/52560	10/21/1999			
_		DA	WO	00/56456	09/28/2000			
		DB	WO	02/87764	11/07/2002			
		DC	WO	02/30561	04/18/2002			
		DD .	wo	03/02226	01/09/2003			
/A	NS/	DE	wo	99/39829	08/1999		1	

		OTHER	DOCUMENTS		
Examiner Initials	Reference Author Number		Title of Article, Title of Journal, Volume Number, Page Numbers, Date		
/AS/	DF	Wittwer et al.	"The Light Cycler: A Microvolume Multisample Fluorimeter with Rapid Temperature Control", pp. 176-181, BioTechniques, January 1997.		
	DG		"Current Protocols in Molecular Biology", Vol. 1, John Wiley & Sons, Inc., 1995.		
	DH		"Mapping Genomes", Vol. 4, Cold Spring Harbor Laboratory Press, 1999.		
/AS/	DI	Erfle et al.	"Simultaneous loading of 200 sample lanes for DNA sequencing on vertical and horizontal, standard and ultrathin gels", Vol. 25, No. 11, pp. 2229-2230, Oxford University Press, 1997.		
/AS/	DJ	MacBeath et al.	"Printing Proteins as Microarrays for High- Throughout Function Determination", pp. 1760-1762, Vol. 289, Science, September 2000.		

	DK	Sambrook et al.	"Molecular Cloning", 2nd Edition, Cold Spring
	_		Harbor Laboratory Press, 1989.
/AS/	DL	Singh-Gasson et al.	"Maskless fabrication of light-directed oligonucleotide microarrays using a digital micromirror array" Vol. 17, pp. 974-978, Nature Biotechnology, October 1999.
/AS/	DM	Vogelstein et al.	"Digital PCR" Vol. 96, pp. 9236-9241, Pro. Natl. Acad. Sci USA, August 1999.
. IASI	DN	Smith et al.	"Dynamical Scaling of DNA Diffusion Coefficients", Vol. 29, pp. 1372-1373, Macromolecules, 1996.
/AS/	DO	Thorstenson et al.	"Global Analysis of ATM Polymorphism Reveals Significant Functional Constraint", Vol. 69, pp. 396-412, Am. J. Hum. Genet., 2001.
/AS/	DP	Wittwer et al.	"Continuous Fluorescence Monitoring of Rapid Cycle DNA Amplification", Vol. 22, pp. 130- 138, BioTechniques, January 1997.
	DQ		"Oligonacleotide synthesis: A Practical
•			Approach: "IRL Press.
	DR		"Oligonacleotides and Analogues. A Practical Approach", Oxford University Press, 1991.
	DS	Maniatic et al.	"Molecular Cloning", Cold Spring Harbor Laboratory, 1982.

Examiner Signature:	/Arlen Soderquist/			
Date Considered:	06/18/2007			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

### SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

8 pplicants:

Hunter

Attorney Docket:

1118/192

Serial No:

10/796,856

Art Group Unit:

1743

Date Filed:

March 9, 2004

Examiner Name:

N/A

Invention:

Method and Apparatus for Performing Microassays

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

	U.S. PATENT DOCUMENTS						
Examiner Initials							
/AS/	DT	US 5,763,263	Jun. 9, 1998	Dehlinger	435/287		
/AS/	DU	US 5,849,598	Dec. 15, 1998	Wilson et al.	436/180		

Examiner Signature: /Arlen Soderquist/

Date Considered: 06/18/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next

communication to applicant.

OCT 16 2006

CTIC

TION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Hunter

Attorney Docket:

1118/192

Serial No:

10/796,856

Art Group Unit:

1743

Date Filed:

March 9, 2004

Examiner Name:

Soderquist, A.

Invention:

Method and Apparatus for Performing Microassays

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date	Patenteee or Applicant	Class/Subclass
/AS/	DV	WO	97/36167	Oct. 2, 1997		

Examiner Signature: /Arlen Soderquist/

Date Considered: 06/18/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.